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**GENERAL ASSEMBLY PLENARY 2** 

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Agenda items 14 and 113

Integrated and coordinated implementation of and follow-up to the outcomes of the major United Nations

Sixty-eighth ye

conferences and summits in the economic, social and related fields

Follow-up to the outcome of the Millennium Summit

#### Responsibility to protect: State responsibility and prevention

Report of the Secretary-General

## I. Introduction and mandate

 Since the 2005 World Summil adoption of the concept of the responsibility to protect, the international community has made progress in the development of the concept and its implementation. 1 In 2009, in its resolution 63/308, the General Assembly agreed to give ongoing consideration to the concept. Since then, I have issued ground protection of the concept since them, I have issued ground protection of the concept since them, I have concert and its association.

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## O Did you know that...

The Responsibility to Protect - known as R2P - is an international norm that seeks to ensure that the international community never again that the international community never again genoide, war crime, ethnic ideating and crimes against humanity. The concept emerged response to the failure of the international in response to the failure of the international articulties committed in Rwanda and the former Vigoliuvia during the 1990. The International Committee on Intervention and the topic of the control of the



## 1 Did you know that...

The 2005 World Summit, which took place from September 14th to 16th at the United Nations Headquarters in New York, brought together more than 170 heads of state and governments.



of atrocities as uneven growth and uneven distribution of resources, poverty, and inequality. Chronic under-development does not cause atrocities directly, but the reports do at times make the link between limited resources civil strife and increasing strains corresponding view of what the international community can do to address these long-term causes does not tackle the problematic global system that benefits certain countries to the disadvantage of the underdeveloped ones and that contributes to poverty, inequality, and weak state structures. Do you feel that international community assistance must be capacity building in weak states, putting pressure on actors not to commit atrocities by signing up to relevant international treaties. and providing education and training in areas



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Responsibility to protect: State responsibility and prevention

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I. Introduction and mandate

1. Since the 2005 World Summit adoption of the concept of the responsibility to protect, the international community has made progress in the development of the concept and its implementation. If in 2009, in its resolution 63/208, the General Assembly agreed to give onegoing consideration to the concept. Since then, I have issued annual reports to assist the Assembly by examining different aspects of the

- 2. The first report, on implementing the responsibility to protect (A(s)(477), and value of the report of the r
- The second report, in 2010, which focused on early warning, assessment and the responsibility to protect (A/64/864), called for early engagement tailored to the evolving needs of each situation. The third report, in 2011, considered the role of

<sup>1</sup> Resolution 60/1





# 2 Something to think about



#### Did you know that...

Mass attroctites consist of extreme violence inflicted on a large scale or in a deliberate manner, particularly on civilians and noncombatants, by State or non-State actors. Mass attroctites encompass the international crimes of genocide, crimes against humanity, war crimes, and agoression



#### Did you know that...

This obligation has its basis in international laws such as the Geneva Conventions, the Genocide Convention, the Rome Statute of the International Criminal Court, and the Convention Against Torture. regional and subregional arrangements in implementing the responsibility to protect (A/65/877-5/2011/393). Focusing on timely and decisive response, the fourth report, in 2012 (A/66/874-5/2012/578), took stock of the Charter-based tools available to respond to situations of concern and the partnerships that could be utilized. as well as ways of protecting responsibly.

- 4. Advancing the responsibility to protect through the prevention of atrocity crimes is a key element of my five-year action agenda. Recent events, including in the Syrian Arab Republic, underline the vital importance of early action to prevent atrocity crimes and the terrible consequences when prevention fails.
- 5. At the heart of paragraphs 138 and 139 of the World Summit Outcome lies the acknowledgement by Member States of their primary responsibility to protect their populations. This responsibility entails the prevention of such crimes, including their incinement, through appropriate and necessary means. In this register, "populations" refers not only to citizens or civilians but to all populations within State borders.
- 6. The responsibility to protect is consistent with existing obligations under international human rights, humanitarian and enlage law, which are binding on all States. The obligation of States to actively prevent genocide is established in article 1 of the Genocide Convention, Common article 1 of the Geneva Conventions sets out the obligation of State parties to ensure respect for international humanitarian law in all circumstances.
- 7. Beyond moral and legal obligations, history has shown that building societies that are resilient to attorcily crimes reprinces State sovereighty and increased state are stated and attorcily crimes reprinced states are stated as a propriate legal frameworks and building State structures and institutions that are legitimate, respect international human rights law and the rule of law in general, and that have the capacity to address and deluse sources of tension diversity and in which different communities coverist practurely.
- 8. Focusing on the responsibility of States to protect their populations by preventing genocity, and rained responsibility of States to protect their populations as well as their incitement, the present report assesses the causes and dynamics of such crimes and violations and reviews the array of structural and operational measures that States can take to prevent atrody crimes. It provides examples that the could be taken to prevent atrody crimes.

## II. Methodology

9. In order to seek the views of Member States and other key partners on the subject of the present report, my Social Adviser on the Reporability to Protect conducted a consultation process. By a note verbale dated 11 March 2013, Member States and regional and subregional organizations were invited to submit their views on the measures available to increase national provention capacity, the challenges to make the contract of the contract o

4 Did you know that...

As of 2024, there have been reports which note that the continuing indiscriminate and disproportionate shelling by the Kurdish-led Syrian Democratic Forces (SDF) is a clear violation of international humanitarian law. with the group's indiscriminate killings amounting to war crimes Furthermore a total of five attacks on vital civilian facilities were documented in February 2024, including three attacks by Syrian regime forces, which were concentrated in Idlib governorate. More recently. Syria has been pulled into the conflict that is engulfing the Middle East region. The dramatic escalation in the region has displaced over 300,000 Syrian refugees yet again, this time fleeing Israeli bombardments from Lebanon into Syria along with more than 100,000 Lebanese nationals that are also fleeing their war-torn country. This has resulted in a political vacuum within Syria which has created an opening for Syrian insurgents to reengage with Syrian forces to recapture cities and towns in northern Syria. A close eye must be kent on the conflict to



# 72 for more information on the Syrian conflict.] 6 Did you know that...

humanitarian law. (See comment on paragraph

Common Article 1 of the Geneva Conventions is also interpreted as conferring a responsibility on third-party states not involved in an armed conflict to not encourage a party to an armed conflict to violate international humanitarian law, nor to take action that would assist in such violations, and to take appropriate steps to cause such violations to cease.

2013, the Secretariat had received written submissions from 27 Member States, one regional organization and 27 civil society organizations.

- 10. In addition to written submissions, in April 2013, the Socretariat held consultations with more than 120 Member States from Africa, Asia, Europe and Latin America and the Caribbean in meetings with regional groupings in New York. In addition, Slowesia organized a consultative meeting in jubiplana, in which 31 European Member States participated. The consultations and written contributions informed the content of the present recent of the present recent of the present recent processing the processing of the present recent processing the processing t
- 11. The examples included in the report highlight measures taken by Member States from across regions that may contribute to addressing risk factors for attrocky crimes and building State resilience, even if they were not undertaken with contribute to address of the state of

## III. Risk factors

- 12. It should be admoved degot that there is an overlap between the risk factors related to same do critical and toner chalsed a particle, prices. Arrolly rimes are more likely to occur during armed conflict, especially internal armed conflict. However, and the conflict is provided in the providence of the conflict o
- 13. As a result, while conflict prevention and atrocity prevention are closely related, they are not sympnymous, and atrocity prevention measures should be developed with that in mind. Focusing exclusively on conflict prevention would overlook atrocity crimes that occur outside of armed conflict or that are not necessarily related to armed conflict.
- 14. Genocide, war crimes, ethnic cleanning and crimes against humanily have much in common with regard to the specific prohibited setz 3 and thus the associated risk factors. Nevertheless, there are key districtions. In the case of genocide, the distinction lies in the intent of the prepartiess to 'destrop in whole or in part's a national, ethnic, reade or religious group. War crimes can be committed only in the content of armed confict or occupation. We crimes may include the tageting of the civilian infrastructures that are not military opicies and of anyone no longer taking an archive part in horalities as well as the use of veragonip prohibited.

## III Did you know that...

In the 2024 \$G Report, The Secretary-General highlights four key hallenges in the international response to the risk of atrocity crimes; (1) presention too often does not begin before risk factors emerge; (2) patterns that sacknowledged, resulting in a misundentanding of the nature of a particular situation and relevant tools needed to respond; (3) discussions on response to risks are too late and fraught with polarization; and (4) protection of populations in often not exposed to the protection of populations in often not exposed to the protection of populations in often not exposed to the protection of populations and the protection of populations in often not exposed to the protection of populations and the protection of the protection of the protection of populations and the protection of the protection of the protection of populations and the protection of the protection of populations are protection of the protection of populations and the protection of the p

## 12 Did you know that...

The 1949 Geneva Conventions and their Additional Protocols are international treaties that contain the most important rules limiting the barbarity of war. They protect people who do not take part in the flighting (civiliars, medics, aid workers) and those who can no longer flight (wounded, sick and shipwrecked troops, prisoners of war).

#### 13 Did you know that...

The ultimate aim of atrocity prevention is to protect civilians from mass atrocities. Actors operating in the atrocity prevention space include: 1.those focused on early warning systems

- those tocused on early warning systems and prevention;
   those providing immediate protection to
- civilians from atrocities, including military and peacekeeping actors; and 3. those managing the after-effects of atrocities, so as to prevent atrocities from re-occurring.
- Atrocity prevention involves many of the same interventions as those geared towards conflict prevention, yet with a focus on the specific risks and triggers of mass atrocities.

<sup>&</sup>lt;sup>2</sup> These acts are set out in the Convention on the Prevention and Previalment of the Crime of Genecide and the Rome Statute of the International Criminal Court. All acts constituting the crimes and visitations related to the red donability on product see problems under international crimes and visitations related to the red donability of product see problems under international cleansing, while not defined as a distinct crime under international criminal tax, is often a result of a combination of acts that could creatistive senciole, we crimes or crime against hormanity.

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international law. Crimes against humanity are distinguished by the systematic or widespread nature of the gross human rights violations committed. War crimes, ethnic cleansing and crimes against humanity may be committed in the course of the same event or can be precursors to other atrocity crimes.

15. History has shown that atrocity crimes are processes, not singular events. There is no single cause or set of cause. Rather, there is a multitude of Factors associated with a heightened risk of atrocity crimes. Many of these factors are reflected in the analysis framework developed by my Special Adviser on the Prevention of Genocide to assess the risk of genocide. A forthcoming framework complement this tool.

16. The presence of risk factors does not directly or inevitably cause at rocity crimes. Societies can exhibit multiple sources of risk but not experience attractive crimes. The absence of attrocity crimes can stem from sources of resilience within a given country or simply from the absence of a triggering or driving factor. Although it is impossible to draw a direct causal connection between the presence committed in the absence of those risk factors.

17. First, countries at risk of genocide and, to an extent, other atrocity crimes, offen have a history of discrimination or orther human rights volations against an embers of a particular group or population, often on the basis of its ethnic, radial remembers of a particular group or population, other on the basis of its ethnic, radial remembers of a particular group. The risk factor is particularly applicated where the individual criminal accountability, reparation, truth-seeking and reconciliation as well as comprehensive reform measures in the security and publical sectors.

18. Genocide is an extreme form of identify-based crime. Whether real or socially constructed, identify can be subject to manipulation by eliker, including as a deliberate static for personal or political gain, and may be used to deepen societal divisions. Identify-based conflict can be rooted in differences among national, ethnic, recall or religious groups, whether real or perceived. It is not the differences per set nat cause conflict canning groups, but started incrimination from decision-making processes and leads to a denial of economic, social, cultural, civil and political rights.

19. Patterns of discrimination against a garficular community are an important factor. Coscimination can be even or coord and cone in many different forms. In a factor, Coscimination can be even or coord and cone in many different forms. In a contrast con

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<sup>&</sup>lt;sup>3</sup> The analysis framework is available in the official languages of the United Nations from http://www.un.ora/en/preventpenocide/adviser/.

unequal access to economic opportunities, land and other resources, employment, food, bether or health care. Gendre discrimination and inequality increase underlying risks associated with sexual and gender-based violence, some circumstances. Specific gender discrimination practices include the denial or inadequate protection of basic rights relating to physical security and the status of women, computery brith control and unequal access to services

20. Such discrimination causes discord between groups, but, from the perspective of arteroity prevention, is expecially disturbing when it stems from patterns of ediborate exclusion. Persistent discrimination establishes divisions within society that serve both as a material cause and as a perceived justification of group violence. Without group-level discrimination, even deep-seated grievances are unlikely to transform imp patterns of above that give rise to accord crimination. Occumination is often accompanied by violence and additional human rights. Occumination is often accompanied by violence and additional human rights are also discriminations.

21. Second, the underlying motivation for targeting a community, whether it be political, economic, initialized or religious, it as an additional risk staff or fear tractive political, extensing, initialized religious, it is a motivation in often demonstrated through the use of exclusionary, and accentated efferences. These differences can be even further accentated through hate speech or propagands campaigns that depict the targeted through hate speech or propagands campaigns that depict the targeted through that speech or propagands campaigns that depict the targeted insulation against the community as distinction induction to insulating actions depicted as accuracy or exclusional traction against the community. Whether a State is providing open insulations of the such causes that the providing open insulations of the such causes the such as a such

22. Think, the risk of atrocity crimes is often connected to the presence of armed groups or militia and their capacity to commit atrocity crimes. Armed groups or militia may be allied with the State or a particular population sector. Associated armed groups to support their operations financially through the exploitation of natural resources or other transmational crimes. In a situation of increasing terms of the state of present the state of present the state of the state of

23. Fourth, the risk of atrocity crimes may depend on particular circumstances that facilitate the persettation of these crimes, for example, any development that suggests a trajectory towards mass violence or the existence of a longer emm plan or policy to commit actory crimes. This can include a sudden or gradual strengthening of the military or security apparatus, the creation of or increased support to militar group, attempts to reduce or eliminate diversity within the company of the committer of the commit

24. Fifth, the risk of genocide and other atrocity crimes can be increased by a Government's lack of capacity to prevent these crimes and the absence of structures or institutions designed to protect the population. Risk factors include an autocracte political regime or one that denies the right to effective participation in public affairs and restricts civil society, weak legislative protection of human rights; and weaknesses in the fulficiary antipreal human districts restricts and the security.

## 20 Did you know that...

Atrocity prevention refers to a broad range of tools and strategies which aim to prevent the occurrence of mass killings and other large scale human rights abuses committed against civilians.

#### 24 Did you know that...

Since 2011, the government of Syris has systematically stacked civilians opposing Bachar a lossed's rule and populations presumed to be supporting rebel forces. The presumed to be supporting rebel forces. The humanitarian crisis since World War II. According to the country report published by the Sarly Warning Project, more than half of the Sarly Warning Project, more than half of from their homes, at least 500,000 have been killed, and tens of thousands have disappeared, been detailed, or been returned. sector. The absence or lack of credibility of these structures or institutions is an important factor. When the rule of a law is weak or under stress, these institutions are unable to function properly and populations are left waterable. In such situations, there is more likely to be impunity for discrimination and the violence that may be used to enforce it. Impunity not only diminishes human rights protection, it also

25. Solts, risk factors for strocky crimes include the commission of acts that could be elemented gloson, was crimes and crime agents humanly as et out in, for the elemented gloson, was crimes and crime agents through a set out in, for Court. This can include acts such as littlings, enforced disappearance, heating factors or their shuman estimating, enforced or disappearance, heating factors or enforced supplementary and enforced room of establishing to their own feet insulants gloson or control of the enforced disappearance for the enforced deportations, the use of prohibited weapons and ammonition, etilacias against civilian infrastructures that an enforced room of the enforced deportations, the use of prohibited weapons and ammonition, etilacias against civilian infrastructures that are not finally opideview or statisk against and the enforced deportations. The enforced commission of control of the enforced control of the enf

28. Acts specifically related to genocide include less obvious methods of determition of a protecting group, such as the deleberate deprivation of resources received from the good part of the control of the control

27. These risks can be compounded by triggers or drivers that create a permissive environment or engineer a rapid excasion or tension. Triggers may circled a recountry, including armed healthies or meas displacement; internal uprisings or country, including armed healthies or meas displacement; internal uprisings or unsert in eastscalarities or security securious following the removal of security foces from an area. In some instances, contests for factionalized axing destroying the contest of the removal of security foces from an area. In some instances, contests for factionalized axing destroying lines, where doubts are about the transparency of electrical processes or where scheduled electrons are arbitrarily delayed or conceinstance of other criefs, such as economic instability or national destates. Against a backdrag of discrimination and intergroup tensions, contests for political authority or necessary securities.

28. The greatest challenges to preventing attacky crimes often occur in situations of arms of conflict, However, this does not diminish the responsibility of the State to prevent such crimes, nor can it exuse their interior. States must continue to apply relevant international norms and do their utimost to protect their propulations. Faller to ensure that security forces are trained to comply with international humanitarian and human rights law can increase the risk of war crimes and other attocky crimes.



The Bonne Statute of the International Criminal Court is the track plate attablished he International Criminal Court (DC) in the International Criminal Court (DC) in the Hayeu, E. July 2012 marked the 20th Playeu, E. July 2012 marked the 20th Court of the Rome Statute, which was ratified by Ireland in March 2002. Under the Rome Statute, which was ratified by Ireland in worst own contagories of the most serious crimes of concern to the own sterious crimes of concern to the miss against humanity, war crimes and crimes of aggression.

#### 28 Interesting facts...

RZP-driven strategies have had a number of successes, notably in stopping the recurrence of violence in Kernya, the West African cases of Sierra Leone, Liberia, Guinea, Cotte drivoire and The Gambia, and in Kyrgysstan. Partial successes can be claimed for DM operations in the Congo, South Sudan, and the Central African Republic, But against these must be weighed serious failures in Sri Lanka, Sudan, Mwanmar, Yemen and. above all. Svria.

Emerging patterns of violations of international humanitarian and human rights law can indicate a risk of future attrooty crimes. Impunity for violations committed by armed forces personnel, irregular forces, militats, as well as a smed rebets or other non-State actors also heightens the risk of future attrooty crimes. The risk of war crimes may increase in the absence of rules of engagement that comply with international humanitarian and human rights law, notably the failure

prohibit and prevent attacks on civilians and civilian property or disproportionate or indiscriminate use of force.

29. The risks highlighted in the present report can be seen, to different degrees, in artority crimes that have occurred in all regions of the world. No State can consider itself immune to the risk of atrocty crimes. While there is a hierarchy of risk and many States may have in place the structures that ensure they are able to address internal risks and stresses, the responsibility to protect must be seen as both a national and international responsibility.

#### IV. Policy options for atrocity prevention

20. The range of risk factors demonstrates that acroly crimes are processes and on an eight event that valued on empility. The factors and on or originate in the gas are already as the result of the results of the

3.1 Early preventive action can address structural and operational factors that affect State capacity prevent attoricy (rems. Structural) policy profess are designed to establish an environment of resilience, address not cause of another designed to establish an environment of resilience, address not cause of another controlled to impedig the commission of alternoty immer or successfully overcoming periods of instability, Operational measures may involve taking deliberate action to implige tensions, building the commission of imminent or coping circums, ensuring accountability for crimes committed and preventing commission of minimate or development of any variety.

3.2.1 is against hard to recomplish the many size that generate, which was a given that the clean sing and the first generative for the clean size of the first first grant gr



Izumi Wakugawa, advisor to the Japan-based International Peace Cooperation Program, suggests a definition of a peace process as "a mixture of politics, diplomacy, changing relationships, negotiation, mediation, and dialoque in both official and unofficial arenas".

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resolutions 1612 (2005), 1698 (2006), 1998 (2011), 1882 (2009) and 2068 (2012) on children and armed conflict outline, inter alia, means for combating specific crimes against children. The principles outlined in those resolutions can be incorporated into atrocity prevention strategies.

33. There is no one-size-fits-all approach to atrocity prevention. The policy options outlined in the present report can be tailored to the context of each State. Some are most successful when undertaken in partnership, including with the United Nations, Member States, regional or subregional arrangements or civil society.

34. The paragraphs below highlight structural and operational measures that can contribute to reducing the risk of atrocity crimes and outline some current examples of policy options implemented by Member States. While there are sometimes challenges in implementation, the examples provide ideas of how measures can be applied in different contexts.

#### Building national resilience

35. Constitutional protections, when upheld, can contribute to creating a society based on non-discrimation. Constitutional pranagements can be whiches for based on non-discrimation. Constitutional managements can be whiches for land to the contribution of the co

36. Examples of such constitutional protections can be seen worldwide. For instance, the Canadian Latert of lights and redords, which is enterwherein in the constitution of the constitution of the constitution of the constitution of regardless of race, religion, national or eithic origin, colou, see, age or physical or regardless of race, religion, national or eithic origin, colou, see, age or physical or mental deability. The court have upheld that the prosions protect equally in the constitution of the court has upheld that the prosions protect equally in the Constitutional Act on the Rights of National Minorities paves the way for the the book, in attenda and regional levels. In South Mirica, a comprehensive system of the book in attenda and regional levels. In South Mirica, a comprehensive system of the book in attenda and regional levels. In South Mirica, a comprehensive system of the book in a constitution of the conjust the human control of customary law with human rights principles. While there may be challenges to implementation, these constitutions trace price and developed the acception and provide protections that constitutions the conjust has developed the acception and provide protections that and the constitution of the conjust of the scale or constitution that conjust has developed the acception and provide protections that the constitution of the conjust of the scale or constitution the conjust has developed the acception and constitutions the conjust the constitution of the conjust of the conjust of the constitution of the conjust of the conjust of the conjust of the conjust of the constitution of the conjust of the

37. Most Governments seek legitimacy through some form of democratic electronal process. When electrions meet international standards of freedom, fairness and respect for human rights and enjoy popular support, they can provide legitimacy to the Government. When all sectors of the population have the opportunity to participate in public life through inclusive, fair electronal processes, they are less likely to resort to violence for resolve their differences or make their voices.

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Electral processes can help to manage social tensions through public dialogies, constructive debate and the selection of leaders through agreed rules. Electral systems that encourage inter-communal political cooperation can help to prevent tensions that may parall india raticity (irresult, Electral management bodies play and important role in ensuring the integrity of the electral process and requir the necessary resources to say that not in engratally and effectively. Monitoring by a can help to ensure that electrons are open, transparent and free from malicious influence and that they are accepted as credible.

38. Although the 2006 general electrion in Guyana was marked by tension, fear and objective uncertainty by restoral actors, separated and objective uncertainty by restoral actors, separated and outbreak of volence. For instance, the electroal commission worked with partners to streamline electroal processes, monitor the marked for inscurrants, bearing and conduct. In Repair, the Interior Constitution required political partners to state the conduct. The Repair, the Interior Constitution required political partners to take into account the principle inclinations when well not account the principle inclinations when such carried products and the second the principle inclinations when the second repaired political partners to take into consolidation of constitution required political partners to take into consolidation of the propositions and inclinations when the product of the electron of disabstratinged casts and ethics groups based on their share of the populations and entire products began and the productions accommission of disabstratinged casts and ethics groups based on their share.

39. The diffusion and sharing of power can enginder political plurials in, which promotes the paceful consistence of different interests. It is administrated to profit and environment can support a wide ant multiparty landscape and protect profit and profit and environment can support a wide anti-material transport and profit and support an

40. States have a binding deligation under international customary law to criminalize genocity, war crimer and crime against humanish yad to investigate and prosecute perpetrators. Ensuring accountability for human rights violations and past activoty crimes controllusions not only to their prevention but also builds the credibility of institutions. The legal framework for such accountability is provided through their artification, dimensional and implementation of evident international through their artification, dimensional to implementation of evident international humanizational law into national legislation and taking practical measures for the laws to be implemented trengthern these legislation and taking practical measures for the laws to be implemented trengthern these legislations.

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<sup>\*</sup>These instruments include the Convention on the Prevention and Purishment of the Crime of Generality (Prevention and Purishment Convention of United Professional Procession Extensional Convention on Social Extensional Convention on Social Extensional Convention on the tensional Convention on the Convention of the Convention on the Convention of the Convention on the

always strictly replicated international definitions, a number of States, including the Plurianstonal State of Dolluy, Pertuggis, Speyhelles and Vet Nam, have criminalized genocide, war crimes or crimes against humanity, or all three, in national legislation, Gustementa has set a instructed preceded by being the first. State to indict a former head of State on charge of genocide and crimes against State to indict a former head of State on charge of genocide and crimes against proposecution. That is, four State parises to the forms States, namely the Central African Republic, the Democratic Republic of the Congs, Mali and Uganda, have referred situations occurring in their territories to the Court.

41. <u>Institute of the Part </u>

42. In societies that have lived through articity crimes, a fair and inclusive transtending lution processes can prevent fishings and four thresholds. In the contraction of the contrac

43. In terms of transitional justice processes, the Timor-Leste Commission for Reception, Truth and Reconciliation provides an example of an independent, statutory authority. The Commission was mandated to undertake truth-seeking for the period from 1974 to 1999 and facilitate community reconciliation. The Commission's recommendations were presented to the President, Parliament and

44. An effective security sector reform process can contribute to mitigating the risk of activity risms by controlling the means to commit another; risms and determing instances of insconduct or abuse. A neglimate security sector is determined to the control of the control of

41 Something to think about

The national justice system of a state can prosecute these crines, usually when such crimes occur on the territory of or are perpentated by a radional of that state. The properties of the state of the



Transitional justice initiatives are actions taken to help a society move from a period of conflict, war, or dictatorship to peace and democracy. They focus on addressing past wrongs, such as human rights violations, and aim to ensure justice, accountability, and reconciliation. Examples include:

- Trials for those responsible for crimes.
   Truth commissions to uncover what happened.
- Reparations to compensate victims.
   Reforms to prevent future abuses.
  These initiatives aim to heal wounds, promote justice, and rebuild trust in society.

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and other accountability mechanisms to address violations committed by security forces personnel. Security sector reform is most likely to contribute to reducing the risk of atrocity crimes when initiated by a legitimate government with democratic institutions or within the framework of a compensative democratic reform plan. In many countries, including Austria, Estonia and Slovenia, civilian oversished to the armed forces encourages accountability.

46. In 2008, Australia adopted the "Classing the Gap" strategy at the national level, which is aimed at eviducing disparities between the indigenous and non-indigenous varieties of the control of the c

47. Beyond these structural reforms, the development or strengthening of salcouls institutions, including legislative bodes, it has establish the foundations of good generance based on the rule of law, othercaster principles and values, and respectively only of the properties of th

48. Legislative chambers in such countries as France, Luxembourg and Romania produce annual public reports on their activities and budget implementation. In Botswana, the Directorate on Corruption and Economic Cirme is mandated to prevent corruption in public bodies by conducting a corruption risk assessment, examining practices and procedures of Government bodies and providing seminars and consultancy services to various public organizations.

#### 45 Did you know that...

The Equality Act 2010 in the United Kingdom consolidates and trengthens previous anti-discrimination laws, providing comprehensive quantities of the control of the control

## 46 Something to think about

There has been no improvement in closing the gap in life expectancy, with Indigenous Australian males and females expected to live 8.8 and 8.1 years respectively, lest shan other Australians. The target to reduce the number of children in out-of-home care is not on track, while the target to reduce adult imprisonment is not on track, and worseninium.

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#### Promoting and protecting human rights

49. A national infrastructure for the promotion and protection of human rights strengthers State relience to activot; times and improves its capacity to address underlying sources of tension. This infrastructure should be based on a legal remework that is consistent with international human rights action. It can also include national human rights actions plans and human rights action plans and human rights action. It is not to the state of the state of

50. Human rights institutions can play a critical role in atrocity prevention. They can promote and monitor the implementation of international human rights standards and domestic law, including by cooperating with United Nations treaty bodies and (Rights Council, as well as with international and regional mechanisms. States that establish such institutions and provide them with the necessary independence and resources to operate demonstrate the commitment to building resilience of resources to operate demonstrate the commitment to building resilience in the council of the commitment of the commitment of the council provides of the commitment of the commitment of the council provides of the council of the council provides of the council of the council provides of the council

\$1. As at \$4,2022, 69 of the \$101 national human rights institutions from across and right political political and right political political and right political political and right political polit

52. National institutions that contribute to building realizance can take different forms. In Kerny, the National Colession and Integration commission was established in 2008 to facilitate and promote equality of opportunity, good relations, harmony and peaceful costistence between persons of different ethnic and racial backgrounds and to advise the Government on measures to increase strengthen good governance.

53. An action, diverse and robust child society that is allowed to operate freely and openly without fast of persocution or registal begts to ensure accountability of leaders, respect for the rule of law and the inclusion of all sectors of society in decision-making processes. In 2012, Newton adopted as law establishing new protection mechanisms for journalists and human rights defenders and created a special advisory board to the Government to involve civil society in the implementation of the mechanism. While there are challenges in implementation, the law is seen as a step towards protecting journalists and human rights.

51 Interesting facts...

RECENT UPDATES As of 2021, the number of countries with National Human Rights Institutions in compliance with the Paris Principles, by region

- Africa: 25
   Europe: 25
   Aria: 15
- Asia: 15
   North America: 7
   South America: 7
   Oreania: 3

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54. The media is an important element of civil society. The independence and plurality of the media should be encouraged, including their high criational, racial, religious and ethnic minorities to have their own media. States can also create a segliant adocated environment that encourage predicational and eff of a structure for the segliant and cost environment that encourage predicational and eff of a structure for the segment of the s

55. While respecting freedom of opinion and expression, it is important to combat advocacy of national, racial or religious hatred that constitutes incitement to discrimination, hostility or violence, in line with articles 19 and 20 of the International Covenant on Civil and Political Rights and taking into account the Rabat Declaration and Plan of Action (see AHRC/221/TAG4.4 apoendix).

Adopting targeted measures to prevent atrocity crimes
56. There are certain specific measures that States can take to mainstream an
attrocity prevention lens within mational administrations. These include the
designation of an atmost prevention or responsibility to prevent to real
inter-agency mechanism, which can contribute to the integration of an atmost prevention or the responsibility to protect coal point or
prevention and or the coal prevention or the responsibility to protect coancept, which can sport the establishment of national
articly prevention calcin plans tailored to the national control or the responsibility to protect coancept, which can sport the establishment of national
articly prevention calcin plans tailored to the national control or the nati

97. Some States have already viates this step. In 2010, Demmark and Ghana Launched an initiative on the responsibility prometer Goal point, startania and Cotal Nica Ahave disce princed the organized group, At present, 28 States Cotal Nica Ahave disce princed the organizing group, At present, 28 States Cotal Nica Ahave disce princed the organization of the state approached this function from its own perspective. In Demmark, the appointment of a national focal point on the responsibility to protect. In a departed in the responsibility to protect. The adequate implementation of the three polisins of the responsibility to protect. In a departed insight of the responsibility to protect. In a departed profession of the protect of the

58. In the United States of America, the establishment of the inter-agency Atrocities Prevention Board in 2012 was preceded by a thorough review of existing capacities and gaps and led to a series of recommendations that are now being implementations.

59. In Africa, Kenya, Rwanda, Uganda and the United Republic of Tanzania have established national committees or the prevention and punishment of the critical genocide, war crimes, crimes against humanity and all forms of discrimination, based on the Protocol of the International Conference on the Great Lakes and the Conference of the Conference of

## 54 Did you know that...

The right to freedom of expression is endrined in Article 19 of the Universal Declaration of Human Rights, which sets out in broad terms the human rights that each of us has. It states, "Everyone has the right to freedom of opinion and expression; this right includes freedom to hold opinions withboat interference and to seek, receive and impart information and ideas through any media and regardless of frontiers.

## 56 Interesting facts...

The Cost Ricar opportment has bodied to the scatting body of the CDHI (Commission Lab of the International Humanitaries Law of Costa Rica) to manage the states' agended a farout on manage the states' agended a farout international international training and the control of the CDHI (COMPANIE) and the CDHI (COMPANIE) and advisory role to the laws the law of the CDHI (COMPANIE) and a short of the CDHI (COMPANIE) and the CDHI (COMPANIE) a

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establishing similar committees.5 While still in the process of development, the committees could pave the way for the creation or strengthening of national early warning mechanisms.

6.6 Effective early warning mechanisms with a specific activity prevention focus that an insident to poly development can help of cetter, assess and respect to the state as insident to poly development can help of cetter, assess and respect to the control of the state of the

6.1. Institution assed processes for dialgue between the State and different communities are important for institution per handered of communications and build communities are important for institution to the state of communication and build communities are important to institution. The state of the sta

6.2. In Ghana, the National Peace Council, which was established in 2011, has taken steps to mediate local tensions and develop a policy framework for conflict resolution. The Council has been instrumental in managing political tensions, particularly those arising from closely contested elections. Singapore has a variety of institutional arrangements that bring together religious leaders to build interfailth trust and strengthen community interaction to address interreligious.

tensions before they escalate.

63. Education can promote tolerance and an understanding of the value of dismerly. Changing first behaviour, attitudes and perceptions of young people an contribute to oversting a society that is resilient to aircody, crimes. Education, contribute to oversting a society that is resilient to aircody, crimes. Education an assemple of inclusionness in their policies, and prescribe technolos that promote inclusionness and acceptance. Education curriculums should include instruction on particulations and not hexases, dynamics and consequences of aircody crimes. In 2009, Agentina lisanched an educational programme that includes teaching the contribution of the contribution of the contribution of acceptancies, practicipation.

64. Commemoration acts and memorials to past atrocity crimes are also elements of preventive action. Cambodia. Germany. Iraq. Paraguay. Poland. Rwanda and

## 61 Did you know that...

Institutionalized processes for dialogue between the State and different communities are formal systems or mechanisms established to ensure ongoing, constructive communication between governments and diverse groups within society. These processes aim to address concerns, resolve conflicts, and build mutual trust, especially in societies with historical or ongoing tensions.

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Angola, Burundi, Central African Republic, Democratic Republic of the Congo, Kenya, Uganda, Republic of the Congo, Rwanda. Sudan. United Republic of Tanzania and Zambia.

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other countries have institutionalized the memory of past attrocity crimes by establishing memorinats or organizing remembrance ceremonies, thereby promoting greater recognition and understanding of such crimes. The empowement of victims' associations, including in Rwanda, ensures that those who were most affected by strocity crimes remain part of the national conscience as their respective countries move forward.

## Challenges

65. While there is a broad range of policy options available to strengthen national atrocity prevention capacity, there are still challenges for Member States to fulfil the commitment made at the 2005 World Summit.

66. First and foremost, political will and leadership are required to translate that commitment into practice. It is sometimes difficult to demonstrate the added value of prevention, particularly leastly preventive action, which may not have immediate, visible outcomes. While such action is most likely to save likes and is less costly and contentious than action taken at a later stage, it can be challenging to secure the political support and resources required to undertake it.

67. Second, since atrocity crimes stem from a range of risk factors, it can be hard to discern what needs to be addressed and at what stage. Lack of capacity, expertise and understanding of the causes and dynamics of these crimes or of the swerity of certain risks can be additional barriers to preventive action.

68. Third, the responsibility to protect entails both a national and international responsibility. Atrocity prevention must be rooted in national efforts to create a society that is resilient to atrocity crimes and in international efforts to assist other States, should the need arise.

#### Building partnerships for prevention

69 <u>Partnerships</u>, including with the United Nations, other Member States, regional or subregional organizations and cityl society, can bell States to strenge national atrocity prevention efforts and overcome the above-mentioned challenges. Partnerships, roted in antional ownership of atrocity prevention efforts and overcome the challenges and resources to the control of the

70. As an example of regional partnership, 18 States launched the Latin American Network for Genocide and Mass Atrocity Prevention in 2012. In collaboration with civil society partners and my Special Advisers on the Prevention of Genocide and on the Responsibility to Protect, this coalition supports initiatives by its members to build national capacity to prevent atrocity crime.

## V. The way forward

71. Beyond the range of policy options identified above, Member States committed to fulfilling their responsibility to protect populations by preventing atrocity crimes and meeting their obligations under international law could coracider the following steps to juvisorate patings after the prevention efforts. The steps.

## 66 Did you know that...

Another interesting and crucial facet of this is "not cause" prevention. This form of prevention refers to efforts focusing or creating structural conditions - be they socioeconomic, political, cultural, environment, and interaction and conflict resolution. Root cause' prevention is seen as preferable to 'direct' prevention in start 'cort cause' prevention addresses the underlying causes of conflicts and crisic, and not only the immediate



The Special Advisors support national, regional and global network or national focal points on genocide and attocky prevention. At the global level, there entworks include the Global Special level, there entworks include the Global Politis and the Global Action Against Mass Arcoticy Cimes network. At the regional level, The Special Advisors support the International Conference on the Global Advisors support the International Conference on Conference and Theorem Conference Advisors and Theorem Conference Advisors and Theorem Conference and C

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could be undertaken in partnership with the United Nations, other Member States or civil society. I call upon all Member States to:

- (a) Appoint a senior-level focal point with atrocity prevention responsibilities and adequate resources or establish other national mechanisms to implement this mandate:
- (b) Conduct a national assessment of risk and resilience, using the analysis tamework for the prevention of genecide developed by my Special Adviser, as appropriate, the risk factors outlined in the present report and tools developed by chill society. The review should be system-wise and should include the identification of vulnerable populations and an assessment of existing structures for resilience. City is society should be included in the review process:
  - (c) Sign, ratify and implement relevant international legal instruments;4
- (d) Engage with and support other Member States and regional or subregional arrangements to share experiences and enhance cooperation to
- promote the effective use of resources;

  (e) Participate in poer review processes, including the universal periodic review of the Human Rights Council, as well as regional peer review processes as
  - (f) Identify and form partnerships with other Member States, regional an ubregional arrangements or civil society for technical assistance and capacityuiding purposes, exchange of lessons learned and mobilization of resources;
    (d) Participate in international confused and arranged discourses.
- further advancement of the responsibility to protect and its implementation.

#### /T. Conclusion

72. The consequences of the failure by States to protect their populations were worked in the hurth legacy of the howestieth century. Since the beginning of the seventher to the hurth section of the state of the section of the state of the section (section in the section of the section of

3. As the present report has set exist, the prevention of anticity crimes requires membrane offerth by Extension Expension of the commission of the commiss

## a) Did you know that...

An R2P Focal Point is a senior official within a government who racilitates national mechanisms entailed within the Manual for R2P Focal Point for attrocting revention and promotes international cooperation by participating in the Global Network. Appointment of an R2P Focal Point is a step that can be implemented by governments to demonstrate their commitment to mass articoly prevention, regardless of their articoly prevention, regardless of their strong programments.

## b) Did you know that...

This framework divides the risk factors into two segments - common risk factors and specific risk factors.

- risk factors.
  The common risk factors are:
  Situations of armed conflict or other forms
- of instability
- Weakness of State structures
- Capacity to commit atrocity crimes
   Fnabling circumstances or preparatory
- Enabling circumst action
  - Triggering factors
     Absence of mitigating factors
- Motives or incentives
   Record of serious violations of international
- human rights and humanitarian law
  The specific risk factors are:
  Intergroup tensions or patterns of
  discrimination against protected groups
- Signs of an intent to destroy in whole or in part a protected group
   Signs of a widespread or systematic attack
- against any civilian population
  Signs of a plan or policy to attack any
- Serious threats to those protected under international humanitarian law
- Serious threats to humanitarian or peacekeeping operations

could be undertaken in partnership with the United Nations, other Member State or civil society. I call upon all Member States to:

- (a) Appoint a senior-level focal point with atrecity prevention responsibilities and adequate resources or establish other national
- (b) Conduct a national assessment of risk and resilience, using the analyst framework on the prevention of genocide developed by my Special Adviser, as appropriate, the risk factors outlined in the present report and tools developed by civil society. The review should be system-wide and should include the
  - or resilience. Civil society should be included in the review process;

    (c) Sign, ratify and implement relevant international legal instruments;

    (d) English with and support other Member States and professional legal.
- (d) Engage with and support other Member States and regional or subregional arrangements to share experiences and enhance cooperation to promote the effective use of resources:
- (e) Participate in peer review processes, including the universal periodic review of the Human Rights Council, as well as regional peer review processes and other options for monitoring the effectiveness of measures taken;
- (f) Identify and form partnerships with other Member States, regional and subregional arrangements or civil society for technical assistance and capacitybuilding purposes, exchange of lessons learned and mobilization of resources;
- Participate in international, regional and national discussions on the further advancement of the responsibility to protect and its implementation.

## VI Conclusion

72. The consequences of the failure by States to protect their populations were violent in the burst lagger of the wentited centure; Siece the beginning of the twenty-first century, we have again winessed atrocity crimes that are the direct consequence of the failure of States to take preventive action, including in Côte d'Ivoire, the Gemocratic Republic of the Congo.

27. As the present regorith has at our, the presention of ancient, or clines required and continuous after the fights. It also requires the regiment of the international community frought assistance on through action, when fother manifestly list 1 to the continuous after the fight and the continuous after the continuous and the continuous actions, when for the continuous actions, the continuous actions are continuous actions, the continuous actions, the continuous actions, the continuous actions actions action and present deal of the continuous actions, the continuous actions actions actions action action action action actions action action actions action actions action

## e) Interesting facts...

There is a tool called the R2P MONITOR. What

- Provides background on populations at risk of mass atrocity crimes, with particular emphasis on key events and actors and their connection to the threat, or commission, of genocide, war crimes, ethnic cleansing and crimes against
- Offers analysis of the country's past history in relation to mass atrocity crimes; the factors that have enabled their possible commission, or that prevent their resolution; and the receptivity of the situation to positive influences that would assist in preventing further crimes
- Tracks the international response to the situation with a particular emphasis upon the actions of the United Nations (UN), key regional actors and the International Criminal Court (ICC).
- Suggests necessary action to prevent or halt the commission of mass atrocity crimes

## 72 Interesting facts...

The scurity situation in North Kivu has further deteriorated since early October due to congoing lighting between IAX2 and the FARD couppriet by a collision of local mixing compared by a collision of local mixing compared to the collision of local mixing compared to the collision of local mixing compared to the collision of the

d'Ivoire, the Democratic Republic of the Congo, Kenya, Sri Lanka, the Sudan and the Syrian Arab Republic. These situations are particularly tragic when we know that they could have been prevented.

73. As the present report has set out, the prevention of atrocity crimes requires continuous efforts by States. It also requires the engagement of the international community through assistance or through action, when States manifestly fail to protect their populations. The unacceptable suffering in the Syrian Arab Republic is a tragic reminder of the consequences when, in the first instance, the State, and subsequently, the international community, fail to fulfil their responsibilities in that regard. Our collective failure to prevent atrocity crimes in the Syrian Arab Republic over the past two and a half years will remain a heavy moral burden on the standing of the United Nations and its Member States, in particular those who have primary responsibility for international peace and security, as well as those that in different ways have prolonged the conflict. Lurge all Member States to provide maximum support for the peace conference in Geneva, especially the implementation of any agreements reached at that time.



#### 72 Interesting facts...

Violence has dramatically escalated across North Darfur, posing an imminent threat of atrocities to civilian populations. Since April indiscriminate airstrikes and the deliberate Easher have led to civilian deaths and the destruction of towns and villages. On 22 May the RSF deliberately attacked and entered the Abu Shouk displacement camp and allegedly beat, tortured and extrajudicially detained civilians. El Fasher is the only capital in Darfur not controlled by the RSF and is home to large Zaghawa, Masalit, Fur and other non-Arab



#### Interesting facts...

Since September 2023 hostilities have intensified in northwest Syria with continuous shelling and airstrikes hitting civilian objects and critical infrastructure, including the main power station in Idlib city, schools, health facilities, displacement camps, markets and mosques, According to Human Rights Watch. and 303 injured, while approximately 120,000 people have been newly displaced. Hostilities also intensified in the northeast in the fall of 2023, displacing tens of thousands of civilians and impacting civilian infrastructure. Attacks by ISIL have also increased in central Syria. targeting civilians in urban areas. In February enforced disappearance and abduction.

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74. Few of the policy options presented in the present report are completely new. Many are already being implemented by State, even if they may not be doing so with the specific objective of atracity prevention. Radical change thus may not be required. However, it is not enough to simply reframe current initiatives. In order to be effective, atracity prevention requires a concerted, comprehensive and inclusive review of risks and vulnerabilities and the action that could be tasken to address them in a timely fashion. I commend all States that have already taken stages to strengthen their preventive capacities, including through implementing the properties of the preventive capacities, including through implementing the properties of the preventive capacities, including through implementing the preventive capacities, including through implementing the preventive capacities and the preventive capacities, including through implementing the properties of the preventive capacities, including through implementing the properties are capacities and the preventive capacities, including through implementing the properties are capacities and the preventive capacities, including through implementing the preventive capacities and the preventive capacities are capacities and the preventive capacities and the preventive capacities are capacities and the capacities are capacities and the capacities are capacities a

75.1 Look forward to the upcoming informal interactive dialogue in the General Assembly on the present report. As with earlier dialogue, it will provide an opportunity for Nember States to share their views as we continue to chart the read towards the VIII, balanced and sustainable implementation of the responsibility to protect concept. It is time to make the responsibility to protect a living reality for all people in the world and to make prevention a prointing.

7%. To that end, I intend to organiza a folion-up meeting with Member States, of implementation of the recommendations in this report. The meeting would provide an apportunity for an informal exchange of views on the parameters and electrowness of policy options in certain contents, good practices and leasons learned; promote partnerships; and identify steps for the way forward. I encourage charmed promote partnerships; and identify steps in the way forward. I encourage on the three the states to policy size in partnerships; and dentify steps in the way forward. I encourage on the three the states to the Prevention of Genocide and on those regional and subregional organizations and civil society on the status the Regional bills of Poetect on measures staken to implement.

77. I would welcome suggestions for the focus of next year's dialogies. One option could be to examine in greater depth the pillar IT responsibility of Member States and the international community to help States build capacity to protect their populations from genocide, war crimes, ethnic cleaning and crimes against humanity and to assist those that are under stress before crises and conflicts break out.